# HIGHLIGHTS OF THE IRAQ STRATEGY REVIEW

NATIONAL SECURITY COUNCIL JANUARY 2007

**Summary Briefing Slides** 

## Guiding Principles

- Success in Iraq remains critical to our national security and to success in the War on Terror.
- Failure in Iraq would have disastrous consequences for the United States, the region, and our allies.
- There is no silver bullet solution in Iraq. Every option involves trade-offs across various risks.

# Relationship to the War on Terror

- Iraq remains a central front in the Global War on Terror.
- Al-Qaida in Iraq has declared and shown its intentions to establish a caliphate in Iraq and then to expand the caliphate widely.
  - Sowing sectarian violence in Iraq has been and remains the central strategy of al-Qaida in Iraq to reach the goal of creating a caliphate.
- The Freedom Agenda is advanced by the survival and strengthening of Iraq's democratic institutions.
  - Winning in Iraq will not end the War on Terror, but it will make success in the War on Terror much easier.
  - Failing in Iraq would make succeeding in the War on Terror vastly more difficult.

## The Regional Picture

- Our allies in the region are concerned about negative Iranian influence in Iraq.
  - Support for the Iraqi Government, however, can help stabilize the region.
- Iran has been cultivating influence in Iraq through all means at its disposal.
  - Iran's threat involves both lethal action and the burrowing of Iranian actors into Iraqi institutions.
- Syrian actions, while posing less of a strategic threat to Iraq than Iranian actions, exacerbate the tactical challenge faced by the Iraqi government.

## **Present Situation**

- We have achieved many of our initial objectives in Iraq.
  - Saddam Hussein's regime is no longer an organized threat to Iraq, its neighbors, or the United States.
  - Iraq is governed by a freely elected government under a permanent constitution.
  - Democratic institutions have been established and are enabling Iraqis to shape their own state.
  - Per capita incomes have increased (\$743 to \$1593 according to the World Bank, although inflation also has risen) and Iraq has performed under its IMF agreement.
- But the situation in Iraq has grown increasingly complex over the past 12 months.
  - Al-Qaida terrorism and a vicious insurgency are now combined with sectarian violence.
  - The national government is eager to take lead responsibility, but it is hampered by a lack of governmental capability and widening sectarian divisions.
  - Power centers are devolving, with events outside the international zone becoming more relevant to national trends.
- The political process has shown signs of maturation, but meaningful reconciliation has yet to be achieved.
  - Iraqi leaders have not yet achieved a single vision for a unified Iraq.
- Iraqi Security Forces (ISF) have grown in effectiveness, but the levels of violence with which they must cope continue to increase.
  - Professionalism and effectiveness are improving but are not yet consistent across the force.
  - Some members of the ISF, notably the police, are contributing to sectarian violence.
  - Despite more than 300,000 trained and equipped members of the ISF, substantially fewer numbers are present for duty on a given day.
    - Combat losses, desertion, attrition, and leave account for the majority of those absent.

## Present Situation

- The situation in Baghdad has not improved despite tactical adjustments.
  - The situation in Baghdad determines nationwide trends; its stabilization has been seen as key to a unified Iraq.
  - The Government of Iraq has not yet delivered promised essential services.
  - Limitations on operations have hindered the execution of security plans.
  - Force levels overall in Baghdad have been inadequate to stabilize a city of its size.
- Iraqi support for the Coalition has declined substantially, in part due to failure of security over the past year.
  - In the absence of security, communities are turning to "self-help."
- In his public and private statements, Prime Minister Maliki articulates a
  positive vision where all Iraqis are protected by the rule of law.
  - Execution and delivery on pledges remain vital.

# **Key Assumptions**

## **Previous**

- Primary challenge is a Sunni-based insurgency.
- Political progress will help defuse the insurgency and dampen levels of violence.
- National Compact is within the grasp of Iraqi leaders and will have meaningful impact on security.
- Majority of Iraqis will support the Coalition and Iraqi efforts to build a democratic state.
- Region has a strategic interest in the stabilization of Iraq.
- Majority of Iraqis and Iraqi leaders see their interests as best advanced by a unified Iraq.
- Dialogue with insurgent groups will help reduce violence.
- Iraqi Security Forces are gaining in strength and ability to handle Iraq's security challenges.

## <u>Now</u>

- Primary challenge is violent extremists from multiple communities; the center is eroding and sectarianism is spiking.
- While political progress, economic gains, and security are intertwined, political and economic progress are unlikely absent a basic level of security.
- Effective national reconciliation may or may not take the form of a comprehensive package or deal; it could come about as the product of piecemeal efforts.
- Iraqis increasingly disillusioned with Coalition efforts.
- Many Arab states remain wary of throwing their full support behind the Iraqi Government.
- While still committed to a unified Iraq, many Iraqis are also advancing sectarian agendas -- as hedging strategies, pursuit of narrow interests, and due to history.
- Dialogue with insurgents has not improved security and may not produce strategic gains in current context.
- Many elements of ISF are in the lead but not yet ready to handle Iraqi security challenges independently.

# Strategic Goals and Objectives

- Our strategic goal in Iraq remains the same: A unified democratic federal Iraq that can govern itself, defend itself, and sustain itself, and is an ally in the War on Terror.
- While our strategic goal requires a long-term relationship with Iraq, we are at a new phase in the effort and must sharpen the objectives we believe are achievable in the next 12-18 months.
- The objectives in this new phase are:
  - 1. Defeat al-Qaida and its supporters and ensure that no terrorist safe haven exists in Iraq.
  - 2. Support Iraqi efforts to quell sectarian violence in Baghdad and regain control over the capital.
  - 3. Ensure the territorial integrity of Iraq and counter/limit destructive Iranian and Syrian activity in Iraq.
  - 4. Help safeguard democracy in Iraq by encouraging strong democratic institutions impartially serving all Iraqis and preventing the return of the forces of tyranny.
  - 5. Foster the conditions for Iraqi national reconciliation but with the Iraqi Government clearly in the lead.
  - 6. Continue to strengthen Iraqi Security Forces and accelerate the transition of security responsibility to the Iraqi Government.
  - 7. Encourage an expanding Iraqi economy including by helping Iraq maintain and expand its export of oil to support Iraqi development.
  - 8. Promote support for Iraq from its neighbors, the region, and the international community.

# Major Strategic Shifts

#### Iraqis Are in the Lead in Ensuring Success – U.S. in Support Role

- Place the responsibility for success on the Iragis
- Recognize and expect that sectarian violence must be addressed by Iraqis
- Encourage Iraqis to reach national reconciliation
- Urge Iraqi Government to serve Iraqis in an impartial way

#### The Primary Mission Is Helping Iraqis Provide Security to the Population

- Help Iraqis provide greater levels of security in Baghdad in order to enable political and economic progress
- Help Iraqis create the security environment in which political deals needed to sustain security gains can be made
- Bolster Iraqi capabilities and transfer responsibility to able units as part of this effort

#### Moderates Will Be Vigorously Supported in their Battle with Violent Extremists

- Counter extremist portrayal of Iraq's conflict as Sunni vs. Shi'a, rather than moderates vs. extremists
- Recognize and act upon the reality that the United States has a national interest in seeing moderates succeed
- Build and sustain strategic partnerships with moderate Shi'a, Sunnis, and Kurds

#### We Will Diversify our Political and Economic Effort in Iraq to Achieve Our Goals

- Increase attention to developments outside of the International Zone emphasize flexibility
- Help Iragi provincial governments deliver to their constituents and interact with Baghdad
- Extend the political and economic influence through the expansion of our civilian effort

#### We Will Further Integrate Our Civil and Military Efforts

- Harness all elements of national power; further augment joint civilian-military efforts throughout theater
- Resource at levels that assume a resilient enemy and realistic assessment of Iraqi capacity over the next 12 months

#### **Embedding Our Iraq Strategy in a Regional Approach is Vital to Success**

- Iraq is a regional and international challenge
- Intensify GOI and USG efforts to expand regional and international help, counter Iran and Syria meddling
- Invigorate diplomatic efforts to improve the regional context

#### We Must Maintain and Expand Our Capabilities for the Long War

- Acknowledge that succeeding in Iraq is the immediate challenge, but it is not the last challenge
- Ensure we have adequate national capabilities to fight the long war, on the military and civilian side

# Key Operational Shifts

#### **Previous**

- Primary security focus was on transferring responsibility to Iraqis; with less focus on population security
- Coalition conceived and led Baghdad security plans.
- Restrictive ROE hindered execution of Baghdad security plan.
- Focused efforts on brokering National Compact among elites.
- Outreach, sometimes unilateral, to Sunnis.
- Helped build government capacity across the board.
- Acknowledged continued Syrian and increasing lethal Iranian interference in Iraq.
- Trained ISF to 325,000 end-strength.
- Political and economic efforts focused mainly in green zone, work with all national ministries.
- Military and civilian efforts coexisted outside of Baghdad.
- Modest embedding with Iraqi units.
- Gradual transfer of security responsibility to ISF.

## Way Ahead

- Primary security focus is on helping Iraqis provide population security;
   will facilitate the transfer of security responsibility to Iraqis
- Iraqi-conceived, Iraqi-led Baghdad security plan.
- Iraqi leaders committed to permissive ROE and non-sectarian, nonpolitical command and control arrangements.
- Equal focus given to local political developments outside the international zone. Hold Iraqi Government to benchmarks it has announced
- GOI leads outreach to insurgents; maintain outreach and keep door open for Sunni moderates.
- Target assistance to vital functions only; build capacity outside green zone especially at provincial level.
- Counter Iranian and Syrian action that threatens Coalition forces.
- Expand size of Iraqi Army; conduct fundamental review of Iraqi police.
- Double political and economic presence outside international zone to better promote local accommodation.
- Civilian and military effort integrated in the field and in planning.
- Selective and significant increase in embedding program.
- Accelerate transfer of battlespace and Provincial Iraqi Control to Iraqis.

# Key Tactical Shifts

## **By Iraqis**

- Commitment to non-interference in operations of the Iraqi Security Forces
- Commitment to go after all those who violate the law, regardless of sect or religion
- Three additional Iraqi army brigades to Baghdad
- Restructuring of security arrangements in Baghdad: one military commander, two subordinates, one Iraqi army brigade for each district
- Expansion of Iraqi Army to add units, provide replenishment for 30,000 positions, and increase the deployability of forces on a national basis

#### MOD Forces will grow from:

- 10 Army Divisions to 13
- 36 Army Brigades to 41
- 112 Army Battalions to 132
- Development of National Operations Center, National Counter-Terror Force, and National Strike Force
- Reform of the Interior Ministry to increase transparency and accountability, transform National Police into a professional force, transform local police and Facilities Protection Service

#### Political/Economic

- Reform of Cabinet as suggested in Dec 16 PM Maliki speech
- Completion of Budget money for economic programs
- Release of Iraqi funds
- Launch of International Compact

## By U.S.

- Increase in U.S. troops; five additional brigades committed to Baghdad.
  - Partnering of U.S. battalions to Iraqi brigades in nine districts of Baghdad
- Increase U.S. forces available to support Iraqi operations in Anbar, and step up pressure on al-Qaida
- Remobilize the National Guard to support rotations
- Expansion of U.S. embed program and partnering
- Doubling of PRTs and PRT civilian personnel in Iraq
- Integration of PRTs and BCTs in most areas
- Request to Congress to support the creation of a Civilian CERP for PRT leaders
- Jobs creation programs to support operations in Baghdad and Anbar
- Increase operations against Iranian actors
- Deployment of security assets to the Gulf region
- Launch of International Compact, early 2007
- Increase in end-strength of U.S. Army and Marine Corps
- Request to Congress to allow State to reimburse civilian agencies that send employees to Iraq